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# Self-supervised Reconstruction of Re-renderable Facial Textures from Single Image

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### ABSTRACT

Reconstructing high-fidelity 3D facial texture from a single image is a quite challenging task due to the lack of complete face information and the domain gap between the 3D face and 2D image. Further, obtaining re-renderable 3D faces has become a strongly desired property in many applications, where the term 're-renderable' demands the facial texture to be spatially complete and disentangled with environmental illumination. In this paper, we propose a new self-supervised deep learning framework for reconstructing high-quality and re-renderable facial albedos from single-view images in the wild. Our main idea is to first utilize a *prior generation module* based on the 3DMM proxy model to produce an unwrapped texture and a globally parameterized prior albedo. Then we apply a *detail refinement module* to synthesize the final texture with both high-frequency details and completeness. To further make facial textures disentangled with illumination, we propose a novel detailed illumination representation that is reconstructed with the detailed albedo together. We also design several novel regularization losses on both the albedo and illumination maps to facilitate the disentanglement of these two factors. Finally, by leveraging a differentiable renderer, each face attribute can be jointly trained in a self-supervised manner without requiring ground-truth facial reflectance. Extensive comparisons and ablation studies on challenging datasets demonstrate that our framework outperforms state-of-the-art approaches.

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## 1. Introduction

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Reconstructing high-fidelity 3D human faces is a longstanding problem in computer vision and graphics communities. This task aims to estimate a realistic 3D facial representation, *i.e.*, predicting face geometry, appearance, expression, and scene lighting from the input source. Faithfully reconstructing 3D faces is a crucial prerequisite for many downstream applications including face recognition [1, 2], face editing [3], face alignment [4, 5], and virtual avatar [6, 7].

Recently, single image based 3D face reconstruction has

gained much attention. However, it is a highly challenging 11 and ill-posed problem due to the domain gap between the 3D 12 face and 2D image. To learn the mapping from a single im-13 age to a 3D face, a parametric model called 3D Morphable 14 Model (3DMM) [8] is developed as the prior model of a 3D 15 face that transforms the 3D reconstruction to a parameter es-16 timation problem. However, 3DMM largely limits the rep-17 resentation capability of the parametric model because it was 18 constructed by applying linear subspace modelling techniques 19 on a limited number of 3D face scans, thus leading to poor 20 reconstruction fidelity when being applied to in-the-wild im-21 ages [9, 10, 11]. Recently, many attempts have been conducted 22 to tackle the detail lacking drawback of 3DMM by adding non-23 linearity into the parametric model, for example, replacing the 24

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Fig. 1. We learn to reconstruct high-fidelity facial textures from in-the-wild images. Left: input single images; Middle: detailed albedo maps generated by our neural network; Right: re-rendered results using our detailed albedo and fine-grained illumination maps.

linear 3DMM with a completely non-linear one [12, 13, 14]
or complementing non-linearity upon the 3DMM coarse reconstruction [15, 16, 17]. In these methods, facial details are either
represented in geometry by a displacement map or encoded into
appearance by a detailed texture map (or albedo map). In this
work, we focus on high-fidelity appearance reconstruction and
apply a coarse-to-fine approach to generate textures that capture
facial details.

The methods for reconstructing facial textures can be fur-9 ther roughly divided into two categories. The first category 10 extends the basic idea of the parametric model and utilizes 11 a self-collected facial texture dataset to train a generative 12 model [18, 10, 12]. When estimating a new image, these ap-13 proaches fit the closest texture in the subspace to the input. 14 They could achieve high-quality results even when the inputs 15 are occluded or in extreme light conditions. However, their gen-16 eration results can not maintain the idiosyncrasy of the human 17 faces well because of the limited representation capacity of the 18 generative model. 19

The other category typically reconstructs the texture directly 20 from the input image [16]. Although their reconstruction cor-21 responds to the input image better, the reconstruction quality is 22 highly influenced by the input, and noise-like occlusion and ex-23 treme environmental illumination will cause artifacts baked in 24 the reconstructed texture. Apart from the requirements of high-25 fidelity texture reconstruction, many applications (e.g., virtual 26 27 avatar) demand the texture to be re-renderable. Specifically, the texture should be not only faithful to the input image, but 28 also disentangled with illumination (which is referred to as an 29 albedo). However, above mentioned methods can not solve the 30 disentanglement of face albedo with illumination. The reasons 31 are two-fold: (1) real facial textures are difficult to capture with-32 out illumination [12]; (2) the widely used three-band spherical 33 harmonics (SH) lighting model has a limited representational 34 capacity [11]. 35

To address these mentioned issues, we propose a new selfsupervised learning algorithm that takes both the advantages of above two categories of methods to generate high-fidelity and re-renderable facial albedos. Our method adopts a coarseto-fine paradigm which first utilizes a prior albedo generation 40 module to produce a coarse re-renderable albedo as a prior, then 41 adds facial details on the prior by a detail refinement module. 42 Specifically, we adopt a pre-trained inference network based on 43 3DMM to produce a prior albedo from the input image. Then, 44 we transform the prior albedo into a complete and detailed fa-45 cial texture by employing an image-to-image translation net-46 work to preserve high-frequency details. In addition, we intro-47 duce a novel detailed illumination representation and propose 48 a decoder to make the albedo disentangled from environmental 49 illumination. This property is especially useful for rendering 50 from novel viewpoints. Several regularization loss functions 51 are designed on both the illumination side and albedo side for 52 achieving a high-fidelity and re-renderable albedo. Finally, our 53 pipeline can be efficiently trained in a self-supervised manner 54 with the help of differentiable rendering [10]. Fig. 1 gives two 55 examples of our reconstruction results. In summary, our work 56 makes the following contributions: 57

• We propose a new self-supervised neural network to obtain a high-fidelity and re-renderable facial albedo. We are able to deal with potential occlusions commonly existed in facial images. The self-supervised learning further makes our approach generalize well among other unseen data inthe-wild.

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- We devise a novel representation of detailed illumination by a localized spherical harmonics to achieve a more accurate illumination estimation, which alleviates the limited expressiveness of widely used SH-based lighting model.
- We design several novel regularization losses to ensure that the detailed albedo is similar to the prior coarse albedo while keeping high-frequency details. Especially, the cross perceptual loss is effective to disentangle lighting from person-specific details such as beards and wrinkles.

## 2. Related work

#### 2.1. Parametric Models for the Human Face

The seminal parametric model of 3DMM was introduced 75 by [8], which applies subspace modeling on collected 3D face 76 scans and produces low-dimensional representations for facial 77 identity, expression and albedo. Many variants [19, 20, 21, 78 22, 23] have extended it to obtain better performance [24]. 79 To improve representation power, parametric models with non-80 linearity are introduced [25, 26, 27, 13]. Although this model 81 expands the representation capacity of 3DMM, the local mod-82 eling scheme leads to stitching artifacts in the generated re-83 sults. Ganfit [12] utilizes a progressive GAN [28] to construct 84 a generative model, which collects a dataset of high-resolution 85 human facial textures and trains the network on it. However, 86 the model has the drawback that the illumination is baked into 87 the texture. Lattas et al. [6] extend Ganfit by post-processing 88 (super-resolution, de-lighting and BRDF inference) the derived 89 texture. Its limitation is that the captured dataset does not con-90 tain sufficient samples of different ethnicities and may produce 91 unfaithful results. 92



Fig. 2. Network architecture for 3D face reconstruction: The left part of the upper box shows our prior module that takes a 3DMM as proxy model and generates unwrapped facial texture map (T), prior albedo map (A) and prior illumination (L). Our prior module includes a pre-trained 3DMM encoder (which is trained seperately) to regress 3DMM parameters from input image (I) and a fixed 3DMM linear decoder to generate corresponding attributes of the 3D face. The unwrapped facial texture map and prior albedo map are then fed into our detail refinement module (right part of the upper box) to generate the detailed albedo (A') and detailed illumination (L'). The detail refinement module (elaborated on the green box) contains one texture encoder with a light decoder and a diffuse albedo decoder. After getting the detailed illumination map and the detailed albedo map, they are sent to a differentiable renderer with other 3D face attributes to obtain re-rendered images (R(A', L'), R(A', L)) for self-supervision. The main training losses are further illustrated in the orange box.

### 2.2. 3D Face Reconstruction

Monocular face reconstruction. Zollhöfer et al. [29] give 2 a state-of-the-art report summarizes recent trends in monocu-3 lar facial reconstruction, tracking, and applications. Given the lack of depth information in RGB images, 3DMM is always 5 included as a proxy model in monocular face reconstruction pipeline. A variety of works [30, 31, 32, 16, 12, 3, 9, 33, 10, 17, 11] utilize this paradigm to transform the reconstruction to a pa-8 rameter estimation problem. Tian et al. [34] and Zollhoefer et 9 al. [29] provide comprehensive surveys for face reconstruction 10 approaches. These works can be further divided into two cate-11 gories by the inferring approaches: fitting-based and learning-12 based methods. The former provides more accurate reconstruc-13 tion results but consumes more time, while the latter leverages deep convolutional neural networks to estimate 3DMM param-15 eters leading to a fast inference. 16

High-fidelity 3D face reconstruction. Although 3DMM can
reconstruct 3D faces roughly, it lacks detailed information and
certain characteristics such as wrinkles and pores. Recently,
many methods were proposed for high-fidelity 3D reconstruction. The direct idea is to capture a dataset with high-quality
3D face ground-truth and train the inference deep network on

the dataset [35, 16, 36] to achieve authentic reconstruction re-23 sults. However, constructing such datasets requires expensive 24 capture equipment (e.g., LED sphere) and leads to laborious 25 work. Meanwhile, the data are mostly captured in a controlled 26 environment and the network trained on it is hard to handle in-27 the-wild face images. Chen et al. [37] utilize a combination 28 of synthetic and realistic face images, and propose a domain-29 transfer cGAN to reduce the domain gap between these two 30 kinds of data. Different from this work, we propose a self-31 supervised framework that depends only on the in-the-wild re-32 alistic face dataset. 33

With the development of CNNs and differentiable rendering, 34 a self-supervised paradigm with re-rendering loss is incorpo-35 rated into the facial detail reconstruction [38, 39, 17, 15, 14, 36 13, 40]. These works add a regression network to comple-37 ment detail information upon the 3DMM coarse reconstruction. 38 However, the coarse model and detail model are often trained 39 separately. These approaches are trained in in-the-wild image 40 datasets and resolve the drawbacks of supervised approaches. 41 [41] leverage the symmetry in the human face and directly 42 regress the depth maps; however, it is unable to reconstruct 43 a complete face model and produce artifacts when encounter-44

ing extreme inputs (e.g., images with non-frontal faces). Some 1 face texture completion and frontalization approaches [42, 43] 2 may help eliminate the artifacts to some extent. Another work 3 branch focuses on human portrait video (or multi-view images) synthesis [44, 45, 46, 47]. They still utilize the 3DMM as a 5 proxy model and generate high-fidelity dynamic details upon 6 it. However, these works do not produce any detailed 3D mesh 7 model; hence, graphic renderers cannot directly utilize their re-8 construction results. a

Representation of facial details. 3D facial detail information 10 can be modeled in either geometric space as displacements or 11 normal [43, 48, 49, 13, 16, 14, 50] or in appearance space as 12 texture or albedo [39, 12, 51], or both of them [52]. Consider-13 ing the representation space, details can be represented as maps 14 in uv-space, or maps in frontal-face space, or vertex attributes 15 on a 3D face mesh. The methods of [16, 13] represent facial de-16 tail in uv-space. [16] unwrap partial image texture from image 17 and regress a detailed displacement map from it; [13] directly 18 generate a uv representation of texture and geometry from the 19 input image. [39] utilize graph convolutional network (GCN) 20 and model texture as three-channel vertex attributes on a 3D 21 22 face mesh to obtain competitive results. [41] take advantage of the symmetry characteristic of human faces and represent the 23 depth, albedo and illumination maps in the frontal-face space. 24 Although applying GCN on mesh could produce convincing re-25 sults, we argue that uv-representation is still a valid represen-26 tation for detail reconstruction because the proper face param-27 eterization keeps most of the face topology and can be easily 28 processed by 2D CNN. In this work, we present a monocular 29 high-fidelity 3D face reconstruction approach and represent the 30 detail information by a detailed texture map in the uv-space. 31

#### 32 2.3. Image Formation Modeling

Image formation is the process that maps a 3D model with 33 an environmental condition to a 2D image space. The core in 34 35 the process is the reflectance models that include illumination modeling and interaction pattern between light and the model 36 surface. In 3D face modeling, three-band RGB spherical har-37 monic lighting representation [53] and Lambertian surface are 38 often considered the default settings [39, 9, 13]. Spherical har-39 40 monics is a set of orthonormal basis defined on a sphere that is analogous to Fourier basis in the Euclidean space, and it can 41 be a proper approximation to illumination in the natural light-42 ing. Lambertian surface assumes that the surface irradiance is 43 irrelevant to the observer's position and only depends on the 44 incident light direction. Although these two assumptions pro-45 vide proper approximation, they neglect other reflection effects 46 (such as specular reflection) in the real scenario and limit the 47 capability to capture complete illumination when encountered 48 with complex environmental light, which is harmful to recover 49 detailed face albedo. This observation motivates us to also re-50 fine the reflectance models in our method. Therefore, we pro-51 pose to retain the Lambertian assumption and attribute all the 52 complex reflectance into our detailed illumination map which 53 is an extension of 3-band spherical harmonics.

## 3. Overview

Given a single facial image, our goal is to reconstruct a 3D 56 human face, with the emphasis on generating a high-fidelity and 57 re-renderable facial texture that is complete, detailed and disen-58 tangled with illumination. To this end, we propose to first gen-59 erate a prior albedo by a prior generation module and enhance 60 it with the facial texture unwrapped from the image by a detail 61 refinement module, see Fig. 2. We choose a traditional linear 62 3DMM [54] as our parametric model because a 3DMM albedo 63 excludes most of the illumination. The other parametric models 64 like [12] can also be directly applied in our pipeline. 65

The input facial image is first fed into the 3DMM encoder to produce 3DMM parameters (including identity, expression, and albedo), pose parameters and illumination parameters. Then, these regressed 3DMM parameters are passed to a fixed 3DMM decoder to acquire the prior albedo, 3DMM shape, camera pose matrix and coarse illumination. Next, we obtain the facial texture by unwrapping the input image according to the projected 3DMM shape. The unwrapped facial texture map and prior albedo map are fed into the detail refinement module, which is composed of a modified version of image-to-image translation network, to generate a detailed albedo map and a detailed illumination combined with the 3DMM shape projected in camera space are rendered to the image space by a differentiable renderer.

# 4. Prior Albedo Generation Module

Our prior albedo generation module takes a 3DMM as proxy model and uses a convolutional neural network to estimate the parameters of facial geometry, albedo, pose and illumination. We adopt the state-of-the-art 3DMM coefficient regressor [9] for the purpose.

Next, we can derive the prior albedo by 3DMM albedo decoding and generate an image texture by sampling the corresponding projection pixels with 3DMM shape and pose parameters. After these two textures are obtained, they are projected onto 2D uv-space to accommodate with 2D CNN structure of detail refinement module. However, two problems occurred in the image texture sampling procedure. First, the non-frontal face and occlusion problem in the facial images may cause incompleteness in the unwrapped texture. Second, the inaccurate regression of pose and shape parameters in many cases may cause the image texture to generate artifacts, especially in the edge parts. Our detail refinement module introduced in the following can resolve these two problems.

# 5. Detail Refinement Module

Our detail refinement module adopts an image-to-image translation network in the uv-space where the input includes two maps: a prior albedo map and a partial facial image texture. We first pad the unseen parts with Gaussian noises as being carried out in [51] because filling the 'holes' in the unwrapped image texture is one of the goals of our detail refinement module.

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Fig. 3. Motivation of the cross perceptual loss. From left to right are image of identity A, image of identity B, the rendered image constructed by detailed albedo of A in combination with detailed illumination of B without cross perceptual loss, the rendered image constructed by detailed albedo of A in combination with detailed illumination of B with cross perceptual loss.

Then, these two maps are concatenated and fed into the refinement network which also produces two outputs: the detailed albedo map and the detailed illumination map. The detailed albedo map includes information about basic details in human faces, such as facial wrinkles, pores and etc. Meanwhile the detailed illumination map attempts to model spatially-complex environmental illumination that can not be captured in a previous coarse reconstruction. In the following, we elaborate on each part of the module and explain the specifically-devised loss functions.

#### 11 5.1. Illumination Disentanglement

Illumination regularization. With respect to the illumination modeling, directly utilizing the coarse illumination in the prior generation module does not fully capture the complex illumination for in-the-wild images. This situation will lead to the leakage of light information to the albedo which makes it not rerenderable. Therefore, a detailed representation for illumination is needed.

Given that our framework is trained in a self-supervised way, 19 disentangling the illumination with albedo is not trivial. We 20 take advantage of the coarse illumination spherical harmonics 21 generated by the prior module and develop our illumination rep-22 23 resentation from it. We introduce a novel representation in the uv-space called spherical harmonics map which models a spher-24 ical harmonics illumination for every vertex in the face model. 25 With the illumination map, we could not only model complex 26 illumination in facial images, but also disentangle light by min-27 imizing the distance with coarse spherical harmonics, which is 28 named by illumination regularization loss. In particular, we rep-29 resent the detailed illumination map  $L_{detail} \in \mathbb{R}^{(B,27,H,W)}$  in the 30 uv-space and directly regress it from the detail refinement mod-31 ule. Then, we regularize local illumination by devising a mean 32 square error (MSE) loss to penalize detail and coarse illumina-33 tion differences. The MSE loss is expressed as follows: 34

$$L_{reg-illu} = \|M_{uv} * (L_{detail} - L_{coarse})\|^2, \tag{1}$$

where  $L_{coarse} \in \mathbb{R}^{(B,27,H,W)}$  is the coarse SH-illumination vector expanding to uv map size, and  $M_{uv} \in \mathbb{R}^{(B,1,H,W)}$  stands for the facial regions visible to the camera projected onto the uv-space. **Cross perceptual loss.** Despite utilizing the illumination regularization loss mentioned above, we find in our experiments that a small amount of facial internal characteristics (such as wrinkles and beard) are mistakenly included in the illumination 41 map, which means that albedo and illumination are not com-42 pletely disentangled, leading to the loss of details in our de-43 tailed albedo map. Given that the attributes, such as wrinkles 44 and beard are individual specific, we propose to utilize a cross-45 identity perceptual loss to conduct the further disentanglement. 46 The motivation is stated below. We assume that the re-rendered 47 image that combines person A's detailed illumination map and 48 person B's detailed albedo map should have the same identity 49 with person B in that the correctly-disentangled detailed illu-50 mination map would only include environmental illumination 51 information in it. However, if the detailed illumination map is 52 not entirely disentangled, which refers to including the facial 53 attribute specific to person A, then it may change the identity 54 of the rendered image. These two samples can be seen from 55 Fig. 3. Owing to this observation, we utilize an illumination-56 irrelevant facial recognition network[55] to distinguish whether 57 the two images are of the same identity. The cross perceptual 58 loss is represented as follows: 50

$$L_{cross-percp} = 1 - \langle ArcFace(I_r), ArcFace(I_{gt}) \rangle, \quad (2)$$

where *ArcFace* stands for the perceptual net,  $I_r$  represents the rendered image with detailed illumination map A and detailed albedo map B, and  $I_{gt}$  means the ground-truth facial image of B. We adopt the cosine distance as the measurement of the similarity between two normalized facial feature vectors.

We sum these two losses together with corresponding weights as our final illumination disentanglement loss:

$$L_{id} = \lambda_{id1} * L_{reg-illu} + \lambda_{id2} * L_{cross-percp}.$$
 (3)

## 5.2. Albedo Regularization

We utilize prior albedo and the intrinsic characteristics of albedo map to construct several regularization losses for obtaining a complete and re-renderable albedo from the input image. Here, we propose three losses : the symmetry loss, albedo smooth loss, and conditional GAN losses.

(1) Symmetry loss: We propose a symmetry loss on the de-73 tailed albedo map. Given that human facial albedos are mostly 74 symmetrical (especially when decoupled with light), we use 75 this loss to regularize an unseen texture problem induced by 76 the non-frontal face in the input image. Another advantage of 77 the albedo symmetry loss is that it ensures robust albedo recon-78 struction in uneven scene illumination. The symmetry loss is 79 expressed as follows: 80

$$L_{symm} = \|M_{uv} * (A_{detail} - A_{detail})\|^2, \tag{4}$$

where  $A_{detail}$  is the detailed albedo map flipped along the y-axis.

(2) Albedo smooth loss: We propose a smooth loss to regularize the detailed albedo map. We expect the detailed albedo
 map inherits this feature because the generated prior albedo is
 decoupled with illumination. We utilize local weighted smooth
 loss on the detailed albedo map to achieve this goal. To compute
 the local weights, we assume the detailed albedo map shares the
 same smoothness with the prior albedo map. Therefore, we use
 the local difference between pixels in the prior albedo map to

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1 compute the smoothness weights of the detailed albedo map.

<sup>2</sup> The albedo smooth loss is defined as:

$$L_{smooth} = \sum_{i} \sum_{j \in N(i)} \omega_{i,j} ||A_{detail}(i) - A_{detail}(j)||^2,$$
(5)

$$\omega_{i,j} = exp(-\alpha * ||A_{prior}(i) - A_{prior}(j)||^2), \tag{6}$$

where  $A_{detail}$  and  $A_{prior}$  represent the detailed albedo map and 3 the prior albedo map respectively, N(i) indicates the neighbors 4 of texel (pixel in uv-space) p(i),  $\omega_{i,i}$  represents the similarity 5 of two texels, which is measured by a decreasing function of 6 corresponding texels' difference in the prior albedo map.  $\alpha$  is a super-parameter which we here choose 80 empirically. In the 8 above equation, our albedo smooth loss penalizes more to those 9 texels whose neighborhood difference shares less similarity be-10 tween the detailed albedo map and the prior albedo map. 11

(3) *GAN loss:* Our devised GAN loss includes an  $L_1$  distance loss and an adversarial loss [56] to force the detailed albedo to share the same distribution as prior albedo map. The  $L_1$  distance

<sup>15</sup> loss can be written as:

$$L_{L1} = |M_{uv} * (A_{detail} - A_{prior})|.$$
<sup>(7)</sup>

<sup>16</sup> We then define the adversarial loss as:

$$L_{GAN_D} = E_{G(z) \in A_{detail}} log(1 - D(G(z))) +$$

$$E_{x \in A} \quad log D(x),$$
(8)

$$L_{GAN_G} = E_{G(z) \in A_{detail}} log(D(G(z))).$$
(9)

where *D* symbolizes the discriminator to judge whether the generated albedo map falls on the support set of the prior albedo map distribution. G(z) represents the detailed albedo map generator which means the whole framework.

Our albedo regularization loss is then computed by combining above four loss terms with proper weights:

$$L_{ar} = \lambda_{ar1} * L_{symm} + \lambda_{ar2} * L_{smooth} +$$
(10)

$$\lambda_{ar3} * L_{L1} + \lambda_{ar4} * L_{GAN_G} \tag{11}$$

## 23 5.3. Detail Preservation

Besides above regularization losses, we also utilize basic 24 reconstruction losses to facilitate high-fidelity reconstruction. 25 These losses are all applied on the image space; thus a face 26 mask is required for concentrating penalization of the differ-27 ences on face regions in the images. We adopt the face parsing 28 approach [57] to generate face masks before training. Coarse 29 reconstruction may not be well-suited to image mask because of 30 the inaccurate estimation of 3DMM and camera pose. Hence, 31 we generate our final face mask by multiplying a pre-generated 32 mask with projected face mask. The final face mask can be 33 34 computed as:

$$M_{face} = M_{parsing} * M_{proj}.$$
 (12)

After face masks are obtained, we propose two reconstruction losses applied in the mask regions, which contain image gradient loss and image loss.

(1) Image gradient loss: We now propose an image gradient
 loss to encourage the similarity between the re-rendered facial

image gradient and the ground-truth facial image gradient for<br/>reconstructing facial details as authentic as possible. This loss<br/>is designed according to the assumption that the detail informa-<br/>tion can be mostly captured by image gradient. We define such<br/>gradient loss function as:40

$$L_{grad} = \sum ||M_{face} * (Grad(I_r) - Grad(I_{gt}))||^2, \quad (13)$$

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where  $M_{face}$  is the pre-extracted face mask,  $I_r$  and  $I_{gt}$  are the rendered image and the input facial image, respectively; and *Grad* represents the gradient operator. Specifically, we first calculate two directional gradients along the x-axis and y-axis, then compare them with corresponding ground-truth gradient maps. Finally, we obtain the summation.

(2) *Image loss:* We also adopt image loss to penalize pixel difference between the rendered image and the input facial image, which can be expressed as follows:

$$L_{img} = \sum \|M_{face} * (I_r - I_{gt})\|^2.$$
(14)

We combine these two losses together to obtain our detail preservation loss:

$$L_{dp} = \lambda_{dp1} * L_{grad} + \lambda_{dp2} * L_{img}.$$
 (15)

## 6. Network Architecture and Training Details

We train our neural network on a public dataset CelebA [58] 57 which is a large-scale facial attribute dataset that has more 58 than 200K facial images collected from the internet. We sep-59 arate the dataset into disjoint training data (85%) and testing 60 data (15%). We pre-process the images by first generating 68-61 landmarks [59] before feeding them into our detail generation 62 network. Then, we utilize the generated landmarks to crop and 63 scale the images to keep the human faces staying in the center of 64 the images and resize them to  $224 \times 224$ . After pre-processing, 65 these images are fed into our pre-trained prior albedo genera-66 tion module. In this work, a 3DMM parameter regressing net-67 work and a fixed 3DMM decoder [54] are utilized to obtain the 68 prior albedo and other attributes (geometry, camera and illumi-69 nation). The camera parameters and 3DMM shape are lever-70 aged to unwrap the texture from the input image. Next, the 71 unwrapped texture and prior albedo (in uv-space) are concate-72 nated and fed into the detail reconstruction network to acquire 73 detailed albedo maps and detailed illumination maps. 74

We adopt the ResNet-50 [60] as the backbone network of our prior albedo generation module and pre-train it on 300W-LP [61] following the state-of-art 3DMM reconstruction work [9]. 300W-LP is a dataset that contains 122,450 facial images with a variety of head poses generated from the original 300W dataset by face profiling techniques. Similar to the training process in [9], we train the network in a self-supervised way with pixel-level, landmark-level, and perceptual-level discrepancy in combination with the parameter regularization loss.

In the detail reconstruction network, we adopt the basic pix2pix network [56] as our backbone and abandon the skipconnection because it may cause the output to inherit the noise from the unwrapped image texture. We also extend pix2pix

with two decoders, one for detailed albedo generation and the
other for detailed illumination modeling. The light decoder and
albedo decoder share the same structure but with different output layers, where the light decoder outputs a 27-channel map
while the albedo decoder outputs a 3-channel one. Finally, We
use the face mesh renderer [62] for differentiable rendering. We
combine the loss functions mentioned above to train our network, which is expressed as follows:

$$L_{total} = L_{id} + L_{ar} + L_{dp}.$$
 (16)

9 The coefficients in those loss functions are chosen as  $\lambda_{id1}$ ,  $\lambda_{id2}$ , 10  $\lambda_{ar1}$ ,  $\lambda_{ar2}$ ,  $\lambda_{ar3}$ ,  $\lambda_{ar4}$ ,  $\lambda_{dp1}$ ,  $\lambda_{dp2}$ : 1.0, 0.5, 5.0, 5.0, 1, 1.0, 0.001, 11 1.0, 5.0, where  $\lambda_{ar3}$  is set as 0.0 after first epoch training.

We trained our entire network end-to-end for 10 epochs us-12 ing the Adam optimizer. The initial learning rate was set to  $10^{-4}$ 13 and reduced with attenuation coefficient of 0.98 every 1 epochs 14 until we reached  $10^{-5}$  to avoid overfitting. The batch size was 15 16 and momentum was 0.9. The training task was completed 16 in 2 days on a workstation with one Nvidia RTX-2080 TI GPU. 17 Once trained, our network can process approximately 30 im-18 ages per second in the inference stage. 19

#### 20 7. Experimental Results

We evaluate our algorithm qualitatively and quantitatively by performing a complete comparison with current state-of-the-art approaches. We further conduct ablation studies to provide a comprehensive evaluation of the individual components of our neural network.

#### 26 7.1. Self Evaluation

Performance on CelebAHQ dataset. We first analyze the ca-27 pability of our method by using the CelebAHQ database [63], 28 which includes 30k  $1024 \times 1024$  facial images generated by 29 applying super-resolution algorithm to a subset of CelebA im-30 ages. Given that the images in CelebAHQ contain more details, 31 we test our neural network on it to demonstrate whether our 32 approach could capture details on high-definition images and 33 achieve high-quality reconstructed albedos. Note that our neu-34 ral network is only trained on the original CelebA. 35

Fig. 4 qualitatively shows our reconstruction results on sev-36 eral images randomly selected from CelebAHQ. Our proposed 37 approach successfully keeps facial details in the reconstructed 38 detailed albedo map, and there exists no reflection effects other 39 than diffuse reflection in the albedo map or rendered results 40 with coarse illumination. This phenomenon verifies that most 41 of the environment illumination are explained by the detailed 42 illumination, which leads to a clean diffuse facial albedo. In 43 addition, our albedo regularization loss ensures that the detailed 44 albedo map also exhibits smoothness and completeness which 45 are beneficial to re-render applications. The detailed albedo 46 generated by our network can be directly sent into a renderer 47 with Lambertian reflector to achieve high-fidelity re-rendered 48 results owing to these two characteristics.ior 3DMM albedo by 49 a white map (so it includes no prior information) to evaluate the 50 effect of the inputs. 51



Fig. 4. Our reconstruction results on CelebAHQ. The first column is input, and the second and third columns show the results generated by our detailed albedo combined with coarse illumination and detailed illumination respectively. The last column shows the detailed albedo in the uv-space.



Fig. 5. Evaluation of prior albedo and detail refinement module. The first three columns are input images, unwrapped image textures, and white facial albedo which replaces the coarse albedo. The right two columns show the output rendered images by using white albedo and original coarse albedo. The figure verifies the capability of our model to transfer as much details from the input texture.

Evaluation of prior albedo and detail refinement module. 52 Given that the detail refinement module in our framework takes 53 two inputs, namely the prior albedo generated by 3DMM and 54 the unwrapped texture from the input image, we are interested 55 in exploring what these two inputs are responsible for in the de-56 tail refinement module. Accordingly we conduct an experiment 57 by substituting the input prior 3DMM albedo by a white map 58 (so it includes no prior information) to evaluate the effect of the 59 inputs. Fig. 5 shows the experimental results, where the ren-60



Fig. 6. Effectiveness of eliminating artifacts in unwrapped image texture. From top to bottom are original input facial images, the unwrapped textures from input images and detailed albedos reconstructed by our method.

dered image with white map absorbs most details in the input
but loses the appearance consistency with the original image.
This phenomenon indicates that our detail refinement module
takes these two inputs independently, where the prior albedo
guides entire appearance generation and the unwrapped texture
is responsible for the detail supplement.

Texture artifact removal. We now evaluate the capability of 7 our detail refinement module in dealing with the textures con-8 taining artifacts. The two main artifacts that exist in facial im-9 ages are show in the second row of Fig. 6. First, the non-frontal 10 face images would lead to incompleteness in the unwrapped im-11 age texture. Second, the geometry parameters (including cam-12 era pose and shape parameters) regressed from the coarse re-13 construction step are not accurate in many cases, which would 14 result in severe stripe-like artifacts in the unwrapped texture, es-15 pecially in the boundaries of human face. Our detail refinement 16 module can remove these two kinds of artifacts and produce a 17 smooth, complete and high-fidelity albedo (Fig. 6). This phe-18 nomenon is mainly due to the introduction of prior albedo and 19 our designed albedo regularization loss, which endow the final 20 21 reconstructed albedo with completeness and smoothness.

#### 22 7.2. Qualitative Comparison

For qualitative comparison, we first compare our approach 23 against recent learning-based texture reconstruction and gener-24 ation methods [19, 12, 10, 39]. Then, we focus on the qualita-25 tive evaluation in extreme illumination condition and compare 26 our reconstructed albedo with advanced facial texture genera-27 tion method [12]. Finally, we compare our albedo reconstruc-28 tion performance with [36] which shares similar goal with ours 29 whereas utilizing a self-collected high-fidelity dataset. 30

Comparison on MOFA data. Fig. 7 illustrates the compari-31 son results with state-of-the-art reconstruction and generation 32 works on a subset of MOFA test dataset [11]. Han et al. [19] 33 proposes to utilize low-cost publicly-available data to construct 34 a full 3D face texture space containing not only diffuse but also 35 spatially-varying specular materials. As a generation-based 36 model, [12] capture 10,000 high-resolution human facial tex-37 tures in the uv-space and train a progressive growing GAN to 38 model the distribution human face texture. They leverage this 39



Fig. 7. Qualitative comparison to other competitive methods. The first row is the input images while the remaining rows show the reconstruction results of all methods. Our reconstructions are shown in the second and third rows where Ours\_DI stands for reconstruction with detailed illumination and Ours\_CI means reconstruction with SH illumination (including only details in diffuse albedo).

progressive GAN as the generative model and utilize fittingbased paradigm to estimate the parameters in latent space.

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Deng et al. [9] and Genova et al. [10] are the two represen-42 tative 3DMM-based facial reconstruction methods that are also 43 trained in the self-supervised way on in-the-wild facial image 44 datasets. Lin et al. [39] aims to reconstruct high-fidelity fa-45 cial texture from a single image self-supervised using GCN in 46 a coarse-to-fine manner. As illustrated in the Fig. 7, not only 47 our reconstruction achieves the best detail preservation com-48 pared with other competitive approaches (see the second row, 49 Ours\_DI), but our diffuse albedo also decouples the environ-50 mental illumination and shadows (see the third row, Ours\_CI). 51 Comparison on extreme illumination data. Facial images un-52 der extreme illumination condition, including uneven lighting 53 or shadow, are commonly encountered in real-world applica-54 tions. Due to the loss of information and low quality represen-55 tation of illumination (three-band Spherical Harmonics), recon-56 structing high-fidelity 3D face under such circumstances is still 57 challenging thus reconstruction-based methods always fail to 58 reconstruct complete face albedos. Meanwhile, a generation-59 based approach can deal with extreme lighting because they 60 map the facial texture space to a latent space with a support 61

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Fig. 8. Comparison to Ganfit [12] in extreme lighting. From left to right are input images, reconstructed albedo of [12], our generated albedo, and our re-illuminated results using a different illumination condition, where the used environmental map is illustrated at the left-bottom.



Input image

Ours

Fig. 9. Comparison to [36] for albedo reconstruction. The first column shows input images; the second and third columns are the reconstructed albedos of our approach and [36]; the last two columns display the rendered images with different viewpoints and illumination conditions.

set. Our proposed model merging these two methods together should also have the capability to reconstruct convincing face 2 albedos from the facial images under extreme illumination. In Fig. 8, we compare our reconstruction results in extreme light-4 ing to Ganfit [12]. The second and third columns show that our albedo decouples the complex environmental illumination 6 and outperforms [12] by preserving more facial details from

Table 1. Quantitative comparison on CelebA dataset. Ours\_OD means the rendered image constructed by our detailed albedo combined with our detailed illumination, while Ours\_OC means the result by using coarse illumination. The best result of each measurement is marked in bold font. Symbol '/' means that we could not test the corresponding method since no open-source implementation.

Methods	$L_1\downarrow$	$PNSR\uparrow$	$SSIM\uparrow$	<i>LightCNN</i> ↑	$evoLVe\uparrow$
Deng et al. [9]	0.05	26.58	0.83	0.72	0.64
Gercer et al. [12]	/	26.5	0.898	/	/
Lin et al. [39]	0.034	29.69	0.89	0.90	0.85
Dib et al. [38]	0.032	28.72	0.807	/	/
Ours_OC	0.02	24.88	0.89	0.91	0.83
Ours_OD	0.01	28.90	0.93	0.93	0.86

the input face. This phenomenon is because of the cooperation between the prior albedo generation module with the detail refinement module of our framework. The former module is responsible for generating the guided albedo and the latter can complement details upon it. As a result, our method not only inherits the advantage of generation-based methods which maintain the diffuse texture smooth in the whole but also has the ability to preserve the details as in a reconstruction-based method. We outperform Ganfit and achieve more convincing results. The fourth column shows re-illuminated results according to our reconstructed albedo, where we apply different illumination conditions to the reconstructed albedo and render it to images where the illumination is randomly selected from a face illumination prior database [64]. The re-illuminated results are rather realistic and keeps the identity information of the original image.

Comparison on albedo reconstruction from a single image. To evaluate the quality of our reconstructed (diffuse) albedo, we compare with the state-of-the-art method [36]. As shown in Fig. 9, both [36] and our approach can decouple environmental illumination well. However, thanks to the novel illumination representation and disentanglement loss, our reconstructed albedos keep more performers' idiosyncrasy than [36], which can be observed from the nasolabial folds from the third and fourth rows.

#### 7.3. Ouantitative Comparison

For quantitative comparison, we mainly focus on the crite-34 ria for measuring the image-level difference. First, L1 distance 35 loss is applied as the basic pixel-level criterion. Then, we uti-36 lize two commonly-used image similarity criteria, namely the 37 structural similarity index measure (SSIM) and peak signal-tonoise ratio (PSNR), to evaluate the similarity between the ren-39 dered face image and original input face image. With regard to 40 the human face problem, we also leverage two well-known pre-41 trained face recognition networks as maps from image space 42 to feature space and evaluate the difference between rendered 43 face image and input face image in the facial feature space. The 44 two facial recognition networks we adopted are *LightCNN* [65] 45 and evoLVe [66], since their state-of-the-art performance and 46 widely acceptance [39]. In summary, we calculate the differ-47 ence between two face images in both pixel-level (including L1 48 distance loss, PSNR and SSIM) and face feature-level (includ-49 ing LightCNN and evoLVe). 50



Fig. 10. Ablation study of the proposed gradient loss and texture regularization loss: our full model produces the most convincing results than others.

The numerical statistics for each method are reported in Ta-1 ble 1, where the competing methods we choose are state-of-the-2 art ones trying to reconstruct details in albedo. The table illus-3 trates that our reconstruction results with detailed illumination 4 are better than those of the competing algorithms. Besides, our 5 framework also achieves competitive results by using only de-6 tailed albedo combined with coarse illumination, which further 7 demonstrates that our detailed albedo is able to capture most 8 facial details in the input image. 9

## 10 7.4. Ablation Study

Effectiveness of gradient and texture regularization losses. 11 We first demonstrate the functionality of the gradient loss and 12 texture regularization loss in our pipeline using the detailed ren-13 dering results with coarse illumination and detailed albedo. As 14 shown in Fig. 10, our proposed  $L_{grad}$  helps our model to capture 15 the detailed information from the facial image. Our  $L_{tex-reg}$  loss 16 contributes to the disentanglement of illumination and com-17 pletes the occlusion part according to the prior albedo which 18 renders the detailed albedo map more similar to the prior albedo 19 map. By contrast, our full model produces the most convincing 20 results than others. 21

Effectiveness of light regularization. To evaluate the effect 22 of our light perceptual regularization loss, we perform an abla-23 tion study by showing the rendered detailed illumination images 24 with and without this loss. In Fig. 11, the light perceptual reg-25 ularization loss helps the disentanglement of illumination with 26 facial characteristics. The illumination map recovered with the 27 help of light perceptual regularization loss includes less facial 28 wrinkles and beard than the one that recovered without this loss. 29 This phenomenon indicates that the facial details are all mostly 30 encoded in the detailed albedo map. Our illumination map has 31 only environmental light information as far as possible thus, it 32 is more suitable for re-renderable 3D facial generation. 33

# 34 7.5. Limitations

Although our model achieves competitive results on most of the in-the-wild facial image datasets, it may still generate unreliable results on huge occlusion cases. The reason is that the prior model we used is only able to produce low-fidelity prior



Fig. 11. Ablation study of the proposed perceptual loss for lighting disentanglement: the illumination image generated by our full model separates the facial intrinsic details better.

albedo and the lack of information in significantly occluded regions cannot be complemented with only symmetry regularization. Moreover, though our model takes 3DMM reconstructed albedo as prior, our reconstructed albedo is not completely independent of input image quality and generate better result when inputs are of high resolution.

# 8. Conclusion and Future Work

We have presented a novel self-supervised neural network for 3D face reconstruction, emphasizing generating re-renderable high-fidelity textures from single images. We utilize the coarse 3DMM model as a prior and fine-tune on it to capture more facial details. We compare our results with state-of-the-art methods in qualitative and quantitative ways. The comparison demonstrates that our method does not require capturing high-resolution face texture datasets and we can generate rerenderable and realistic facial textures.

However, our approach still falls short in fully addressing 55 face reconstruction under extreme conditions, such as top-down 56 viewpoints or exaggerated facial expressions. This limitation is 57 primarily due to the constrained representative capacity of the 58 3DMM albedo prior or the potential for inaccurate geometry 59 reconstruction by the 3DMM when dealing with exaggerated 60 facial expressions. In the future, we plan to construct a high-61 fidelity albedo map dataset and train a new generation model, 62 which would significantly improve the reconstruction quality 63 under extreme conditions. Second, we are interested in extend-64 ing our model to reconstruct geometric details, because high-65 fidelity geometry and texture would lead to a more competitive 66 and visually appealing result. Finally, we would like to add 67 more dynamics to our model and reconstruct animated facial 68 details from a single image or video. 69

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